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Substitute for form 1449/PTO

MAY 23 2007

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

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of 17

Complete if Known

Application Number	10/712,474
Filing Date	11.12.2003
First Named Inventor	Pham, Duc
Art Unit	2196
Examiner Name	Debnath, Suman
Attorney Docket Number	AESN3008CON1

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number	10/712,474
		Filing Date	11.12.2003
		First Named Inventor	Pham, Duc
		Art Unit	2196
		Examiner Name	Debnath, Suman
Sheet	2	of	17
		Attorney Docket Number	
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NON PATENT LITERATURE DOCUMENTS

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Examiner Name	Debnath, Suman

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		Number-Kind Code ³ (if known)			
		US- 5931947	08.03.1999	Burns et al.	
		US- 5918074	06.29.1999	Wright et al.	
		US- 5872783	02.16.1999	Chin	
		US- 5905725	05.18.1999	Sindhu et al.	
		US- 6260155	07.10.2001	Dellacona	
		US- 6160819	12.12.2000	Partridge et al.	
		US- 6266.705	07.24.2001	Ullum et al	
		US- 6263445	07.17.2001	Blumenau	
		US- 6157955	12.05.2000	Nerad et al.	
		US- 6252878	06.26.2001	Locklear, Jr. et al.	
		US- 6292827	09.18.2001	Raz	
		US- 6351775	02.26.2002	Yu	
		US- 6091720	07.18.2000	Bedard et al.	
		US- 6078943	06.20.2000	Yu	
		US-			

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		US- 5453979	09.26.1995	Schibler et al.	
		US- 5850395	12.15.1998	Hauser et al.	
		US- 5974463	10.26.1999	Warrier et al.	
		US- 6253193	06.26.2001	Ginter et al.	
		US- 6259699	07.10.2001	Opalka et al.	
		US- 6157649	12.05.2000	Peirce et al.	
		US- 5754791	05.19.1998	Dahlgren et al.	
		US- 5566170	10.15.1996	Bakke et al.	
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				First Named Inventor	Pham, Duc
				Art Unit	2196
				Examiner Name	Debnath, Suman
Sheet	6	of	17	Attorney Docket Number	AESN3008CON1

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
		IBM, IBM Network Processor (IBM32NPR161EPXCAC133) Product Overview, Published 10/04/1999			
		BROADCOM, BCM5820 E-Commerce Product Brief, 5820-PB00-R-3.26.01, Published 2001			
		BROADCOM, BCM5840 Gigabit Security Processor Product Brief, 5840-PB00-R-12.6.00, Published 2000			
		IBM, The Network Processor - Enabling Technology for High-Performance Networking, Published 8-99			
		IBM, Packet Routing Switch PRS28G Product Sheet, Undated.			
		IBM, Packet Routing Switch PRS28G, Version 1.7 Datasheet, prs28.04.fm, February 6, 2001			
		IBM, IBM PowerNP™ NP4GS3 Network Processor Preliminary Datasheet, May 18, 2001			
		IBM, Product Overview, IBM PowerNP NP4GS3, Network Processor Solutions, April 16, 2001			

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		ALAN RADDING, Storage ROI: Truth & Fiction, Infoworld Custom Media Group, 2001			
		IETF, IP STORAGE WORKING GROUP, iSCSI Requirements and Design Considerations, Document: draft-ietf-ips-iscsi-reqmts-05.txt, July 2001			
		IETF, IP Security Working Group, Security Properties of the IPsec Protocol Suite, Document draft-krywaniuk-ipsec-properties-00.txt, July 9, 2001			
		IETF, iSCSI, Document draft-ietf-ips-iscsi-08.txt, 30-Sep-01			

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		US- 5,822,531	10-13-1998	Gorczyca et al.	
		US- 6,006,259	12-21-1999	Adelman et al.	
		US- 6,470,389	10-22-2002	Chung et al.	
		US- 6,493,318	12-10-2002	Bare	
		US- 6,493,341	12-10-2002	Datta et al.	
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		US- 5,819,045	10-06-1998	Raman et al.	
		US- 5,539,883	07-23-1996	Allon et al.	
		US- 5,862,348	01-19-1999	Pedersen	
		US- 5,774,668	06-30-1998	Choquier et al.	
		US- 6,078,960	06-20-2000	Ballard	
		US- 6,480,861	11-12-2002	Kanevsky et al.	
		US- 6,487,605	11-26-2002	Leung	
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		US- 6,424,621	07-23-2002	Ramaswamy et al.	
		US- 6,173,306	01-09-2001	Raz et al.	
		US- 6,272,522	08-07-2001	Lin et al.	
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		US- 6,185,681	02-2001	Zizzi, Stephen	
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		US- 5,596,718	01-1997	Boebert et al.	
		US- 5,850,446	12-1998	Berger et al.	
		US- 6,286,104	09-2001	Buhle et al.	
		US- 6,377,577	04-2002	Bechtolsheim et al.	
		US- 6,378,072	04-2002	Collins et al.	
		US- 6,249,866	06-2001	Brundrett et al.	
		US- 6,647,400	11-2003	Moran, Douglas	
		US- 6,665,666	12-2003	Brown et al.	
		US- 6,671,773	12-2003	Kazar et al.	
		US- 2002/0091734	07-2002	Redlich et al.	
		US- 2003/0046366	03-2003	Pardikar et al.	
		US- 2003/0056095	03-2003	Elliott et al.	
		US- 2003/0112977	06-2003	Ray et al.	
		US- 2003/0196114	10-2003	Brew et al.	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁴
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 9900958	01-1999	LEVERIDGE et al		
		JP 08272667	10-1996	NAKAMURA, Y.		

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 12

of 17

Complete if Known

Application Number	10/712,474
Filing Date	11.12.2003
First Named Inventor	Pham, Duc
Art Unit	2196
Examiner Name	Debnath, Suman
Attorney Docket Number	AESN3008CON1

U. S. PATENT DOCUMENTS

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Substitute for form 1449/PTO				Complete if Known	
				Application Number	10/712,474
				Filing Date	11.12.2003
				First Named Inventor	Pham, Duc
				Art Unit	2196
				Examiner Name	Debnath, Suman
Sheet	13	of	17	Attorney Docket Number	AESN3008CON1

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
		BERTINO et al., Advanced Transaction Processing in Multilevel Secure File Stores, 1998 IEEE				
		CATTANEO et al., The Design and Implementation of Transparent Cryptographic Filesystems for UNIX, June 2001				
		LUDWIG et al., File System encryption with Integrated Usr Management, October 2001 ACM				
		O'CONNELL et al., JFS: A Secure Distributed File System for Network Computers, 1999				

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Complete If Known**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
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Sheet 14

of 17

Application Number	10/712,474
Filing Date	11.12.2003
First Named Inventor	Pham, Duc
Art Unit	2196
Examiner Name	Debnath, Suman
Attorney Docket Number	AESN3008CON1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 6,202,157	03-13-2001	Brownlie et al.	
		US- 6,219,790	04-17-2001	Lloyd et al.	
		US- 6,246,771	06-12-2001	Stanton et al.	
		US- 6,253,321	06-26-2001	Nikander et al.	
		US- 6,282,652	08-28-2001	Scheifler	
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		US- 6,286,104	09-04-2001	Buhle et al.	
		US- 6,304,973	10-16-2001	Williams	
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		US- 6,354,886	03-05-2002	Howard et al.	
		US- 6,499,110	12-24-2002	Moses et al.	
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		US- 6,584,508	06-24-2003	Epstein et al.	
		US- 6,502,192	12-31-2002	Nguyen	
		US- 6,505,300	01-07-2003	Chan et al.	
		US- 6,519,636	02-11-2003	Engel et al.	
		US- 6,539,483	03-25-2003	Harrison et al.	
		US-			

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 02/103498	12-27-2002	Gladstone		
		WO 01/137095	05-25-2001	Hollander		

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Sheet 15

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Complete if Known

Application Number	10/712,474
Filing Date	11.12.2003
First Named Inventor	Pham, Duc
Art Unit	2196
Examiner Name	Debnath, Suman

Attorney Docket Number AESN3008CON1

U. S. PATENT DOCUMENTS

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		Number-Kind Code ² (<i>if known</i>)			
		US- 5,321,841	06-14-1994	East et al.	
		US- 5,440,635	08-08-1995	Bellovin et al.	
		US- 5,349,642	09-20-1994	Kingdon	
		US- 4,503,287	03-05-1985	Morris et al.	
		US- 5,586,260	12-17-1996	Hu	
		US- 4,423,287	12-27-1983	Zeidler	
		US- 5,550,984	08-27-1996	Gelb	
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		US- 5,412,717	05-02-1995	Fischer	
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		US- 5,682,478	10-28-1997	Watson et al.	
		US- 5,657,390	08-12-1997	Elgamal et al.	
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		US- 5,649,099	07-15-1997	Theimer et al.	
		US- 5,790,800	08-04-1998	Gauvin et al.	
		US- 5,680,461	10-21-1997	McManis	
		US- 5,784,463	07-21-1998	Chen et al.	

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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (<i>if known</i>)				

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 16 of 17

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Application Number	10/712,474
Filing Date	11.12.2003
First Named Inventor	Pham, Duc
Art Unit	2196
Examiner Name	Debnath, Suman
Attorney Docket Number	AESN3008CON1

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		Number-Kind Code ² (if known)			
		US- 6,052,785	04-18-2000	Lin et al.	
		US- 5,941,947	08-24-1999	Brown et al.	
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		US- 6,104,716	08-15-2000	CCrichton et al.	
		US- 5,922,073	07-13-1999	Shimada	
		US- 6,061,796	05-09-2000	Chen et al.	
		US- 6,084,969	07-04-2000	Wright et al.	
		US- 2001/0016907	08-23-2001	Kang et al.	
		US- 2002/1094495	12-19-2002	Gladstone et al.	
		US-			

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Sheet 17 of 17

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Application Number	10/712,474
Filing Date	11.12.1991
First Named Inventor	Pham, Duc
Art Unit	2196
Examiner Name	Debnath, Suman
Attorney Docket Number	AESN3008CON1

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		Number-Kind Code ² (if known)			
		US- 5,150,407	09-22-1992	Chan	
		US- 4,588,991	05-13-1986	Atalla	
		US- 5,235,642	08-10-1993	Wobber et al.	
		US- 5,065,429	11-12-1991	Lang	
		US- 5,720,034	02-17-1998	Case	
		US- 6,185,684	02-06-2001	Pravetz et al.	
		US- 5,940,507	08-17-1999	Cane et al.	
		US- 6,175,924	01-16-2001	Arnold	
		US- 5,235,641	08-10-1993	Nozawa et al.	
		US-			

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		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		WO 02/093389	11-21-2002	Avida		
		WO 02/093314	11-21-2002	Avida		

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